



MIAMI-DADE EXPRESSWAY AUTHORITY

3790 NW 21 St. // Miami, FL 33142

www.mdxway.com

MDX PROCUREMENT/CONTRACT NO.:

MDX WORK PROGRAM NO.:

MDX PROJECT/SERVICE TITLE:

Four horizontal lines for entering contract, program, and project information.

SWORN STATEMENT ON PUBLIC ENTITY CRIMES AND DEBARMENT

THIS FORM MUST BE SIGNED AND SWORN TO IN THE PRESENCE OF A NOTARY PUBLIC OR OTHER OFFICER AUTHORIZED TO ADMINISTER OATHS.

- 1. I (We) understand that "Public entity crime" as defined in Paragraph 287.133(1)(g), Florida Statutes, means a violation of any state or federal law by a person with respect to and directly related to the transaction of business with any public entity or with an agency or political subdivision of any other state or with the United States, including, but not limited to, any bid, proposal, reply, or contract for goods or services, any lease for real property, or any contract for the construction or repair of a public building or public work, involving antitrust, fraud, theft, bribery, collusion, racketeering, conspiracy, or material misrepresentation
- 2. I (We) understand that "Convicted" or "Conviction" as defined in Paragraph 287.133(1)(b), Florida Statutes" means a finding of guilt or a conviction of a public entity crime, with or without an adjudication of guilt, in any federal or state trial court of record relating to charges brought by indictment or information after July 1, 1989, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere.
- 3. I (We) understand that an "Affiliate" as defined in section 287.133(1)(a), Florida Statutes, means:
 - a. A predecessor or successor of a person convicted of a public entity crime; or
 - b. An entity under the control of any natural person who is active in the management of the entity and who has been convicted of a public entity crime. The term "Affiliate" includes those officers, directors, executives, partners, shareholders, employees, members, and agents who are active in the management of an affiliate. The ownership by one person of shares constituting a controlling interest in another person, or a pooling of equipment or income among persons when not for fair market value under an arm's length agreement, shall be a prima facie case that one person controls another person. A person who knowingly enters into a joint venture with a person who has been convicted of a public entity crime in Florida during the preceding 36 months shall be considered an affiliate.
- 4. I (We) understand that a "Person" as defined in Paragraph 287.133(1)(e), Florida Statutes means any natural person or any entity organized under the laws of any state or of the United States with the legal power to enter into a binding contract and which bids or applies to bid on contracts let by a public entity, or which otherwise transacts or applies to transact business with a public entity. The term "person" includes those officers, directors, executives, partners, shareholders, employees, members, and agents who are active in management of an entity. For the purposes of this Solicitation, a "Person" also refers to the Consultant, Contractor, Proposer, or Bidder, as defined in MDX's Solicitation Documents.



MIAMI-DADE EXPRESSWAY AUTHORITY

3790 NW 21 St. // Miami, FL 33142

www.mdxway.com

MDX PROCUREMENT/CONTRACT NO.:

MDX WORK PROGRAM NO.:

MDX PROJECT/SERVICE TITLE:

Four horizontal lines for entering contract, program, and project information.

SWORN STATEMENT ON PUBLIC ENTITY CRIMES AND DEBARMENT (CONT.)

5. Based on information and belief, the statement that I (We) have marked below is true in relation to the Person submitting this sworn statement. **(INDICATE WHICH OF THE BELOW STATEMENT(S) APPLY.)**

___ Neither the Person submitting this sworn statement, nor any officers, directors, executives, partners, shareholders, employees, members, or agents who are active in the management of the Person, nor any Affiliate of the Person has been charged with and convicted of a public entity crime subsequent to July 1, 1989.

___ The Person submitting this sworn statement, or one or more of its officers, directors, executives, partners, shareholders, employees, members, or agents who are active in management of the Person, or an Affiliate of the Person has been charged with and convicted of a public entity crime subsequent to July 1, 1989.

___ The Person submitting this sworn statement, or one or more of its officers, directors, executives, partners, shareholders, employees, members, or agents who are active in the management of the Person, or an Affiliate of the Person has been charged with and convicted of a public entity crime subsequent to July 1, 1989. However, there has been a subsequent proceeding before a Hearing Officer of the State of Florida, Division of Administrative Hearings and the Final Order entered by the Hearing Officer determined that it was not in the public interest to place the Person submitting this sworn statement on the convicted vendor list. **(ATTACH A COPY OF THE FINAL ORDER)**

6. The undersigned is hereby required to disclose to MDX if the Person or any of its officers, directors owners, agents (including Subconsultants), shareholders, partners, executives, members or employees who will be performing the Work or the Services have either been

- Convicted or have plead guilty to a state or federal offense involving fraud, corruption or moral turpitude; or
- Listed, previously or currently, by any state or federal agency, Miami Dade County, or any subdivision or other governmental entity in the State of Florida as debarred, suspended, proposed for suspension or debarment, or otherwise ineligible to participate in this Procurement Process or the procurement/contracting process for any such agencies or federal procurement programs..

The undersigned acknowledges and commits to comply with the on-going responsibility to disclose such information within thirty (30) calendar days if it occurs after contract award. MDX reserves the right to evaluate the disclosed information, pursuant to this provision, and request that such individual be re-assigned whether within the MDX contract scope or not.

7. The Person agrees to indemnify MDX for any costs and expenses, including but not limited to audit costs, attorneys' fees and expert witness fees that MDX incurs due to any fraudulent statements made by Person in regards to this certification.



MIAMI-DADE EXPRESSWAY AUTHORITY

3790 NW 21 St. // Miami, FL 33142

www.mdxway.com

MDX PROCUREMENT/CONTRACT NO.: _____
MDX WORK PROGRAM NO.: _____
MDX PROJECT/SERVICE TITLE: _____

SWORN STATEMENT ON PUBLIC ENTITY CRIMES AND DEBARMENT (CONT.)

Firm Name: _____

Address: _____

City: _____ State: _____ Zip: _____

F.E.I.N. No.: _____

By: _____

Authorized Signature *

Title

Print Name

Date

* IF THIS FORM IS NOT BEING SIGNED BY THE PRESIDENT OR VICE PRESIDENT OF THE FIRM, ATTACH PROOF THAT THE AUTHORIZED SIGNATORY IS AN OFFICER WITH THE AUTHORITY TO CONTRACTUALLY BIND THE FIRM.

STATE OF _____

COUNTY OF _____

Sworn, and subscribed to before me this _____ day of _____, 20____.

Personally Known _____ OR Produced Identification _____

Type of Identification Produced _____

Notary Signature

Notary Public, State of _____
NOTARY STAMP:

My commission expires: _____

PLEASE NOTE: THE SWORN STATEMENT ON PUBLIC ENTITY CRIMES AND DEBARMENT SHALL BE COMPLETED BY THE BIDDER/PROPOSER AS WELL AS ALL SUBCONTRACTORS/SUBCONSULTANTS.

DUPLICATE THESE PAGES AS NECESSARY TO PROVIDE THE REQUESTED INFORMATION.